

MIA WEBINAR SERIES

# INTERVIEW FOR APPROVED COMPANY AUDITORS

15 June 2023 (Thursday), 9.00 am – 3.30 pm

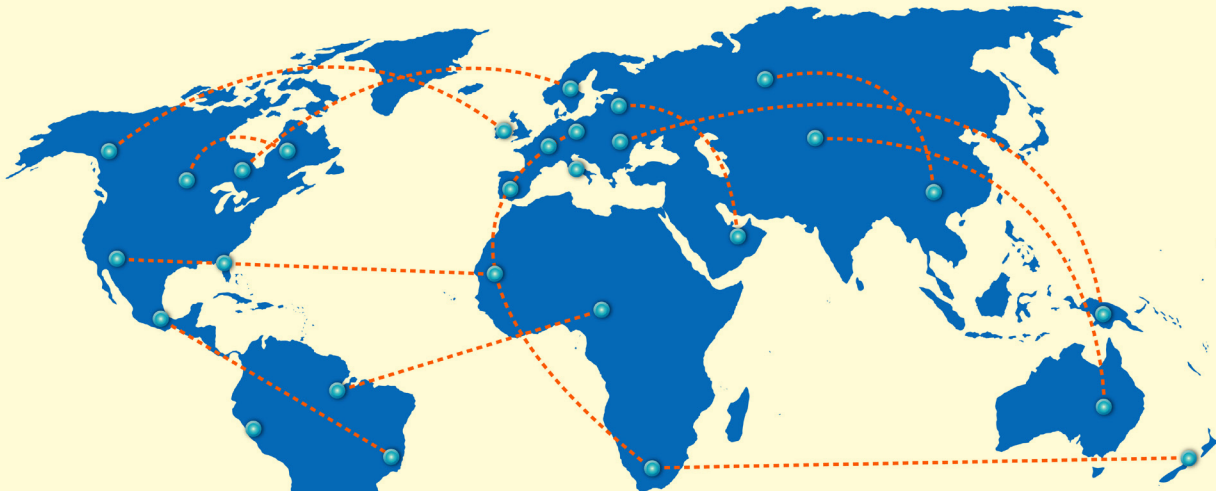
To be an approved company auditor in Malaysia, MIA members are required to apply to the Minister charged with the responsibility for finance to be approved as a company auditor pursuant to Section 263 of the Companies Act 2016. When applying for becoming an approved company auditor, apart from fulfilling the necessary working experience and other mandatory requirements, the applicant is required to go through an interview conducted by the Interview Panel at the Accountant General's Office.

The Approved Company Auditors Interview Panel was established to serve as a platform to assess the competency and character of the candidates to perform the duties of approved company auditors.

The interview panel comprises officials from:

- The Accountant General's Department Malaysia (AG) – Deputy AG (Corporate)
- Companies Commission of Malaysia (SSM)
- Securities Commission (SC)
- Bank Negara Malaysia (BNM)
- Malaysian Institute of Accountants (MIA)

After each interview, the recommendation to the AG by the Interview Panel is dependent on the good character and competency of the applicant as demonstrated during the interview.





# Interview for Approved Company Auditors

## OBJECTIVES

This programme prepares candidates for the interview process and competency expectations of the interview panel. It also highlights the auditors' role in various legislations such as Capital Market and Services Act 2007, Bursa Listing Requirements, Financial Services Act 2013 (FSA) and Islamic Financial Services Act 2013 (IFSA), Anti-Money Laundering, Anti-Terrorism Financing and Proceeds of Unlawful Activities Act 2001, Unclaimed Monies Act 1965 and Companies Act 2016 and the latest developments in the market that affect the audit profession.

## WHO SHOULD ATTEND

Members in public practice who wish to become approved company auditors in the near future.

## METHODOLOGY

A highly interactive learning session with trainer/speaker led facilitation, live Q&As, quick polls/surveys, self assessment quizzes and participant's feedback on learning outcome achievement.

The Institute has invited several panel members who currently sit on the Approved Company Auditors interview panel to discuss the common mistakes made by interviewees and other matters pertaining to the audit approval interview.

## FACILITATORS



**MOHAMED SYAMSUDDI MOHAMED SHAMSUDIN**  
Principal Assistant Director,  
Accounting Development & Management Division,  
Accountant General's Department of Malaysia



**NOR NADIA AHMAD NASER**  
Senior Supervisor  
Financial Conglomerates Supervision Department  
Bank Negara Malaysia



**MUHD HARITH A. BAKAR**  
General Manager, Inspection,  
Audit Oversight Board,  
Securities Commission Malaysia



**CHIN CHEE SENG**  
Member,  
Audit Licence Interview Panel,  
Malaysian Institute of Accountants



**DAVID YAP WENG SEONG**  
Senior Manager,  
Regulatory Review & Development Section,  
Regulatory Development & Services Division,  
Companies Commission of Malaysia (SSM)

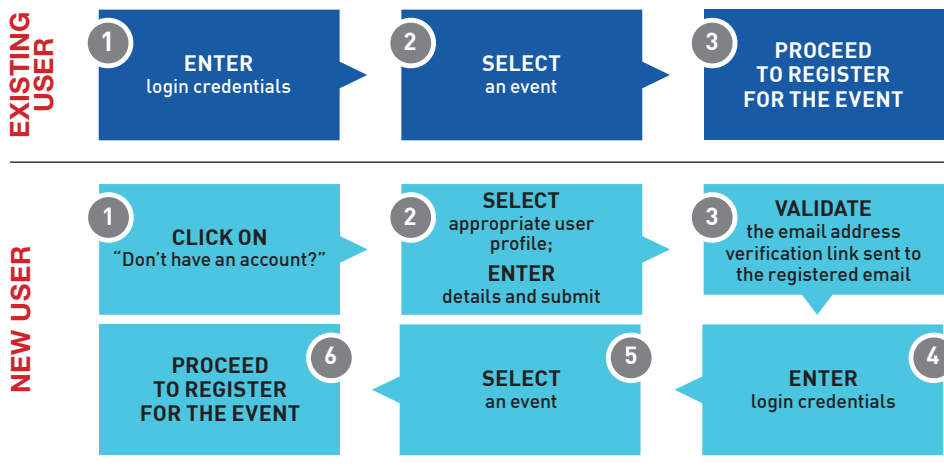
## PROGRAMME OUTLINE

8.30 am – 9.00 am	Participants login to join the webinar
9.00 am – 10.20 am	<b>Session 1</b> <b>Interview for Approved Company Auditors from the Accountant General's Department's Perspective</b> Covers some aspects of competency and expectations for prospective applicants. FACILITATOR <b>MOHAMED SYAMSUDDI MOHAMED SHAMSUDIN</b>
10.20 am – 10.35 am	Break
10.35 am – 11.25 am	<b>Session 2</b> <b>Interview for Approved Company Auditors from Bank Negara's Perspective</b> Covers professional and legislative requirements in various statutes relating to responsibilities of auditors, and includes observations through various illustrations and case samples FACILITATOR <b>NOR NADIA AHMAD NASER</b>
11.25 am – 12.15 pm	<b>Session 3</b> <b>Interview for Approved Company Auditors from the Securities Commission's Perspective</b> Covers some aspects of competency and expectations for prospective applicants FACILITATOR <b>MUHD HARITH A. BAKAR</b>
12.15 pm – 1.15 pm	<b>Session 4</b> <b>Interview for Approved Company Auditors from the Malaysian Institute of Accountant's Perspective</b> Covers some aspects of competency and expectations for prospective applicants. FACILITATOR(S) <b>CHIN CHEE SENG</b>
1.15 pm – 2.30 pm	Break
2.30 pm – 3.30 pm	<b>Session 5</b> <b>Interview for Approved Company Auditors from the Suruhanjaya Syarikat Malaysia's Perspective</b> Covers legislative requirements related to auditors and liquidators and expectations for prospective applicants. FACILITATOR <b>DAVID YAP WENG SEONG</b>
3.30 pm	End of Webinar

NOTE: The order of topics to be covered may be revised at the Organiser's discretion.

**REGISTRATION PROCESS**

- To view more events and download the full brochure, please visit: [pd.mia.org.my](http://pd.mia.org.my)
- Search and select the event
- Click 'Register' to experience the new system by continuing with the respective steps below:



For any assistance, please call (8.45am-5.30pm, Monday-Friday)  
**MIA Help Desk @ 603-2722 9000**

**TERMS & CONDITIONS FOR WEBINARS****WEBINAR FEE**

- Fee is payable to MALAYSIAN INSTITUTE OF ACCOUNTANTS
- For selected webinars, the fee includes e-materials.
  - **Individual Registration:** Full payment shall be made at the point of online registration.
  - **Corporate Registration:** Full payment shall be made within thirty (30) days from the date of the Invoice or 1 day before the webinar, whichever earlier.
- Access to join the webinar shall be granted only upon full payment as per the above requirement.

**WEBINAR ACCESS LINK**

- The Access Link will be emailed at least 24-hours before the commencement of the webinar.
- The Access Link is unique and should not be forwarded/shared with others.

**PAYMENT MODE**

- Payment must be made through the **electronic channels i.e. online payment via the MIA member service portal and electronic fund transfer (EFT).**
- Payment by **cash and cheque is NOT ACCEPTABLE** effective from 1 January 2022.

**HRD CORP (FOR CLAIMABLE EVENTS ONLY)**

- MIA is an approved Training Provider registered under 'Institut Akauntan Malaysia' (MyCoID: 631967).
- **Employer's Obligations**
  - To ensure grant approval is obtained prior to event registration and to provide the Grant ID notification upon event registration.
  - To make full payment to MIA as per the issued Invoice within 14 working days upon receipt of MIA's notification in the event the approved training fee is cancelled by HRDC due to non-compliance on the part of the participant or his/her employer or any valid reasons stipulated by HRDC.
  - To settle the balance payment to MIA within 14 working days upon receipt of MIA's notification in the event only partial claim is approved by HRDC. MIA will provide copy of the original invoice and will not issue a new invoice for the balance amount.
  - If employer has made payment prior to grant approval, a refund will be made to employer subject to reimbursement received from HRDC. Refund will be made upon receipt of duly completed employer's EFT Form.
  - To provide required information and/or documents after completion of event for the purpose of HRDC Claim within 7 working days upon receipt of MIA's notification.

**CANCELLATION**

Should the participant decide to cancel his/her enrolment, a cancellation policy shall be applied as follows:

- For written cancellation received with minimum seven (7) days' notice from the date of the webinar, no penalties will be imposed and full refund will be made to participants who have paid.
- For written cancellation received less than seven (7) days from the date of the webinar, an administrative charge of 20% of the registration fee will be imposed. Unpaid registrations will also be liable for a 20% administrative charge.
- No refunds will be made for written cancellations received on the day of the webinar or for participants who failed to join the webinar. Unpaid registrations will also be liable for full payment of the registration fee.
- Replacing registered participants is not allowed.
- Paid registration that is cancelled can opt to transfer the paid amount to future event(s) after deducting any applicable administrative charges.
- The transfer request to future event(s) should be confirmed by Corporate/Individual within three (3) days after cancellation otherwise the cancellation will be confirmed with refund action. Transfer request will not be entertained after the refund is processed.
- Corporate/Individual is required to top-up the balance amount if the amount to be transferred to the future event is insufficient.

- Any excess amount after transfer will be refunded to the Corporate/Individual's bank account as provided in the EFT form.
- Corporate/Individual is required to provide the EFT form each time when a refund is requested.

**PARTICIPANT'S CLASSIFICATION AND INFORMATION**

Category: Corporate/Individual

- Please select the participant classification carefully as it determines the fee payable. No alteration will be allowed upon registration.
- The information on Corporate/Individual provided shall be deemed true and correct. No alteration will be allowed upon registration.

**METHODOLOGY, CERTIFICATE OF ATTENDANCE AND CPE CREDIT HOURS**

- Live Q&As, quick polls/surveys will be carried out throughout the webinar.
- For selected webinars, pre and/or post course materials will be shared with participants.
- Self-assessment quizzes at the beginning as well as at end of the webinar will be given to enable participants to self-evaluate themselves on their learning performance and level of understanding of the programme content.
- **Participants will be issued with an e-certificate of attendance and awarded CPE credit hours upon strict compliance of the following terms:**
  - **Remain logged in at least 80% of the time allocated for the webinar,**
  - **Submit the post-course evaluation on learning outcome within 3 days after the completion of the webinar (late submission of the post-course evaluation will not be entertained).**

- CPE credit hours will be credited into the MIA Member Services Portal within 14 days of the webinar for participants who have complied with all terms and conditions stipulated herein.

- Listening to pre-recorded webinar and/or reading from past webinar e-material shall not qualify as structured CPE credit hours.

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Information given by the participants to MIA is true, accurate and to the best of their knowledge. The participants have read and agreed with the Privacy Notice as stated on MIA's official website and therefore, allow MIA to collect, process, store and use the participants' data other than what is provided under the Personal Data Protection Act 2010.

**EXCLUSION OF LIABILITY**

This webinar shall not constitute an endorsement of the speaker(s) by MIA and MIA shall not be liable for whatsoever circumstances arising from any engagement between the speaker(s) and the webinar's participants.

**DISCLAIMER**

Malaysian Institute of Accountants (MIA) reserves the right to change the speaker(s), date(s), time(s) and to cancel the webinar should circumstances beyond its control arise. MIA shall not be responsible for any costs, damages or losses incurred by the participant due to the changes and/or cancellation. MIA also reserves the right to make alternative arrangements without prior notice should it be necessary to do so. Upon registering, you are deemed to have read and accepted the terms and conditions herein.

**WEBINAR FEES**

MIA Member

| RM 550

*Preferred Payment: Pay with MIA-CIMB Affinity Credit Card.*

**WEBINAR DETAILS & REGISTRATION**

15 June 2023 (Thursday)

Session 1 : 9.00 am – 10.20 am

Session 2 : 10.35 am – 11.25 am

Session 3 : 11.25 am – 12.15 pm

Session 4 : 12.15 pm – 1.15 pm

Session 5 : 2.30 pm – 3.30 pm

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